

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 1567534   |
| <b>Application Number:</b>                  | 09685398  |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 7911  |
| <b>Title of Invention:</b>                  | System and method for automatically rebalancing portfolios by single response   |
| <b>First Named Inventor/Applicant Name:</b> | James Richard Kraemer   |
| <b>Correspondence Address:</b>              | Esther H. Chong, Esquire<br>Synnestvedt & Lechner LLP<br>2600 Aramark Tower<br>1101 Market Street<br>Philadelphia PA 19107-2950<br>US 2159232189<br>- |
| <b>Filer:</b>                               | Gregory S. Bernabeo   |
| <b>Filer Authorized By:</b>                 |   |
| <b>Attorney Docket Number:</b>              | RSW9-2000-0107-US1  |
| <b>Receipt Date:</b>                        | 06-MAR-2007   |
| <b>Filing Date:</b>                         | 10-OCT-2000   |
| <b>Time Stamp:</b>                          | 15:05:43  |
| <b>Application Type:</b>                    | Utility   |

### Payment information:

|  |        |
|--|--------|
| Submitted with Payment                   | yes    |
| Payment was successfully received in RAM | \$ 790 |
| RAM confirmation Number                  | 87     |

**File Listing:**

| Document Number | Document Description                    | File Name                         | File Size(Bytes) | Multi Part /.zip | Pages (if appl.) |
|-----------------|---|-----------------------------------|------------------|------------------|------------------|
| 1               | Request for Continued Examination (RCE) | Request_Continued_Examination.pdf | 663334           | no               | 3                |

**Warnings:****Information:**

|   |                        |              |      |    |   |
|---|------------------------|--------------|------|----|---|
| 2 | Fee Worksheet (PTO-06) | fee-info.pdf | 8223 | no | 2 |
|---|------------------------|--------------|------|----|---|

**Warnings:****Information:**

|                                     |        |
|-------------------------------------|--------|
| <b>Total Files Size (in bytes):</b> | 671557 |
|-------------------------------------|--------|

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.